State of California AIR RESOURCES BOARD

EXECUTIVE ORDER U-R-1-60

Relating to Certification of New Heavy-Duty Off-Road Equipment Engines

CATERPILLAR, INC.

Pursuant to the authority vested in the Air Resources Board by Sections 43000.5, 43013 and 43018 of the Health and Safety Code; and,

Pursuant to the authority vested in the undersigned by Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-45-9;

IT IS ORDERED AND RESOLVED: That the following Caterpillar, Inc. 1998 model-year engine, with rated power between 175 and 750 horsepower, and exhaust emission control systems are certified as described below for use in heavy-duty off-road equipment:

Typical Equipment Usage: Industrial Equipment

<u>Fuel Type</u> : Dies	sel	Exhaust Emission Control		
Engine Family	Liters	(Cubic Inches)	Systems and Special Features	
WCPXL10.4MRA	10.4	(636)	Turbocharger Smoke Puff Limiter	

Engine models and codes are listed on attachments. Production engines shall be in all material respects the same as those for which certification is granted.

The total hydrocarbons (THC), carbon monoxide (CO), nitrogen oxides (NOx), and particulate matters (PM) certification exhaust emission standards, in grams per brake horsepower-hour (g/bhp-hr), and the opacity of smoke emission standards, in percent (%), during acceleration (Accel), lugging (Lug), and peak (Peak) modes, for this engine family are (Title 13, California Code of Regulations, Section 2423):

Exhaust Emissions (g/bhp-hp)				Smoke Opacity (%)			
THC	<u>co</u>	<u>NOx</u>	<u>PM</u>	<u>Accel</u>	<u>Luq</u>	<u>Peak</u>	
1.0	8.5	6.9	0.4	20	15	50	

The THC, CO, NOx and PM exhaust emission certification values, in g/bhp-hr, and the opacity of smoke emission certification values, in percent (%), for this engine family are:

Exhaust Emissions (g/bhp-hr)					Smoke Opacity (%)		
Engine Family	THC	<u>co</u>	<u>NOx</u>	<u>PM</u>	<u>Accel</u>	<u>Luq</u>	<u>Peak</u>
WCPXL10.4MRA	0.4	1.3	6.2	0.3	16	12	34

BE IT FURTHER RESOLVED: That the listed engine models comply with the "Exhaust Emission Standards and Test Procedures--Heavy-Duty Off-Road Diesel Cycle Engines" (Title 13, California Code of Regulations, Section 2423) for the aforementioned model year.

BE IT FURTHER RESOLVED: That the listed engine models also comply with the "Emission Control Labels--1996 and Later Heavy-Duty Off-Road Diesel Cycle Engines" (Title 13, California Code of Regulations, Section 2424) for the aforementioned model year.

BE IT FURTHER RESOLVED: That for the listed engine models, the manufacturer has submitted the materials to demonstrate certification compliance with the Board's emission control system warranty provisions (Title 13, California Code of Regulations, Section 2425 et seq.).

Engines certified under this Executive Order must conform to all applicable California emission regulations.

Executed at El Monte, California this ______ day of December 1997.

R. B/ Summerfield, Chief

Mobile Source Operations Division

LARGE ENGINE MODEL SUMMARY

EO: U-R-1-60

Manufacturer: CATERPILLAR INC

Manufacturer Family Name:

Process Code: New Submission

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EM, DI, TC, SPL 8.Fuel Rate: 9.Emission Control (lbs/hr)@peak torque Device Per SAE J1930 EM, DI, TC, SPL EM, DI, TC, SPL EM, DI, TC, SPI EM, DI, TC, SPL EM, DI, TC, SPL EM, DI, TC, SPL EM, DI, TC, SPI EM, DI, TC, SPL EM, DI, TC, SPL EM, DI, TC, SPL EM, DI, TC, SPI EM, DI, TC, SPI EM, DI, TC, SPI EM, DI, TC, SPL EM, DI, TC, SPL EM, DI, TC, SPL EM, DI, TC, SPI may change. 44.9 52.6 49.2 54.5 48.8 43.4 56.2 56.5 65.2 35.1 45.7 84.6 68.9 9.07 60.9 53.1 50.1 61.7 58.1 40.7 81.1 these fuel rates mm/stroke@peak torque 7.Fuel Rate: 113 101 54 73 83 96 90 84 9/ 63 75 8 2 ion engine avgs. 512 @ 1320 497 @ 1320 441 @ 1320 543 @ 1320 509 @ 1320 368 @ 1440 486 @ 1380 574 @ 1320 6.Torque @ RPM (SEA Gross) 426 @ 1440 504 @ 1680 557 @ 1500 646 @ 1440 618 @ 1440 589 @ 1440 558 @ 1440 505 @ 1440 722 @ 1680 608 @ 1680 709@1560 510@1560 482 @ 1560 578 @ 1560 734 @ 1560 654 @ 1560 <u>617 @ 1560</u> 760@1560 5.Fuel Rate: (lbs/hr) @ peak HP (for diesels only) Due to product-51.6 73.9 63.0 68.9 65.3 110.9 81.0 68.4 59.8 80.9 92.8 78.8 77.3 61.7 106.3 102.2 70.0 86.3 73.2 75.7 84.7 mm/stroke @ peak HP (for diesel only) nominal values. 4.Fuel Rate: 69 64 56 61 61 68 79 75 83 66 80 88 74 160 @ 2200 142 @ 2200 150@1800 165 @ 2300 175 @ 2200 165 @ 2200 3.BHP@RPM (SAE Gross) 250 @ 2800 220 @ 2400 210 @ 2400 200 @ 2400 190 @ 2400 175 @ 2400 150 @ 2400 125 @ 2400 185 @ 2200 fuel rates are 175 @ 2600 166 @ 2600 175 @ 2800 189 @ 2500 196 @ 2600 210 @ 2800 260 @ 2600 225 @ 2600 210 @ 2600 250 @ 2600 EPA Engine Family: WCPXL10.4MRA and Peak Torque 2.Engine Model 3208 3208 3208 3208 3208 3208 3208 3208 3208 3208 3208 3208 3208 3208 3208 3208 3208 3208 3208 Note: Peak HP 1 - Cert Engine 1.Engine Code 23 24 25 25 26 22 6 20 15 16 17 200 72 9 12 13 4 Ţ 9 8 m 4 ß